



TFW
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| Applicant | : | Schutt, et al. |) · |
|----------------|---|-----------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------|
| Serial No. | : | 10/644,634 | I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal |
| Filed | : | August 19, 2003 | Service as first class mail in an envelope addressed to: Commissioner for Patents and Trademarks, Alexandria, VA, |
| For | : | Ultrasonic Imaging System Utilizing a Long Persistence | 22313-1450, on NOV 18, 2004 |
| | | Contrast Agent | 3 |
| Examiner | : | Hartley, Michael G. |) Thoroxon |
| | | |) Láuren Sng |
| Group Art Unit | : | 1616 |) |

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents and Trademarks Washington, D.C. 20231

Dear Sir:

In accordance with Applicant's duty of disclosure under 37 C.F.R. §1.56, and in accordance with 37 C.F.R. §§ 1.97-1.98, applicant submits the enclosed form PTO-1449 listing references for the above listed patent application. This Information Disclosure Statement is being filed after the receipt of a first Office Action on the merits, and therefore a fee is supplied in accordance with 37 C.F.R. § 1.97 (d)(2) and 1.17(p).

All of the listed references are being submitted herewith. The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, and shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56 (b).



Applicant: Schutt et al.

Attorney Docket No.: ALLIA.062D3C3

Serial No.: 10/644,634

Please call the undersigned at the number listed below if there are any questions concerning this submission.

Respectfully submitted,

IMCOR PHARMACEUTICAL CO.

By:

Registration No. 40,283 (858) 410-5174

| | | | SHEET? | £101 |
|---------------|---------------------------------------|-------------------|-----------------|------|
| FORM PTO-1449 | U.S. DEPARTMENT OF COMMERCE | ATTY. DOCKET NO. | APPLICATION NO. | |
| | PATENT AND TRADEMARK OFFICE | 062D3C3 | 10/644,634 | |
| INFORMATI | ION DISCLOSURE STATEMENT BY APPLICANT | | | |
| 35 AUST SEVE | ERAL SHEETS IF NECESSARY) | | | |
| (o', 'E') | | APPLICANT | | |
| NOV 2 2 2004 | | Schutt et al. | | |
| No. | | FILING DATE | GROUP | |
| The second | • | November 18, 2004 | 1616 | |

| U.S. PATENT DOCUMENTS | | | | | | |
|-----------------------|-----------------|----------|----------------|-------|----------|---------------------------------|
| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE (IF APPROPRIATE) |
| | 5,255,683 | 10/26/93 | Monaghan | | | |
| | 5,552,133 | 09/03/96 | Lambert et al. | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | | |
|--------------------------|---|-----------------|------|---------|-------|----------|-------------|----|
| EXAMINER | | | | | | | TRANSLATION | |
| INITIAL | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | YES | NO |
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| EXAMINER INITIAL | OTHER DOCUMENTS (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.) | | | | | |
|---------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|--|--|--|--|
| | Burns et al., Harmonic Imaging: New Imaging and Doppler Method for Contrast-enhanced US, Radiology, 1992 | | | | | |
| | Forsberg, et al., In Vivo Application of Contrast-enhanced Harmonic Imaging, Radiology, 1993 | | | | | |
| | Nanda, Meeting Report: Second Biennial Scientific Congress of the International Society of Cardiovascular Ultrasound, World Congress on Echocardiography and Vascular Ultrasound, 1994 | | | | | |

| EXAMINER | DATE CONSIDERED |
|----------|-----------------|
| | |

*EXAMINER: INITIAL IF CITATION CONSIDERED WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

PTO/SB/17 (10-04v2)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE nder the Paperwork Reduction Act of 1995, no persons are required to re

| FEE | TR | AN | SM | IT | TAL |
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| | for | FY | 200 |)5 | |

Effective 10/01/2004. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

| TOTAL AMOUNT OF PAYMENT | (\$) | 180 |
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| espond to a collection of into | ormation unless it displays a valid OMB control number |
|--------------------------------|--------------------------------------------------------|
| · Co | omplete if Known |
| Application Number | 10/644,634 |
| Filing Date | November 18, 2004 |
| First Named Inventor | Schutt |
| Examiner Name | Hartley, Michael G. |
| Art Unit | 1616 |
| Attorney Docket No. | 062D3C3 |

| METHOD OF PAYMENT (check all that apply) | | | | FE | ECALCULATION (continued) | |
|---------------------------------------------------------------------------------------------------------------|---------------------|-------------|-------------|-------------|----------------------------------------------------------------------------|----------|
| ☐ Check ☐ Credit card ☐ Money ☐ Other ☐ None | 3. Al | DDITI | ONAI | _ FEE | S | |
| Deposit Account: | Large I | | | | | |
| Deposit | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
| Account Number | 1051 | 130 | 2051 | 65 | Surcharge - late filing fee or oath | |
| Deposit Account | 1052 | 50 | 2052 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| Name | 1053 | 130 | 1053 | 130 | Non-English specification | |
| The Director is authorized to: (check all that apply) Charge fee(s) indicated below Credit any overpayments | 1812 | 2,520 | 1812 | 2,520 | For filing a request for ex parte reexamination | |
| Charge any additional fee(s) or any underpayment of fee(s) | 1804 | 920* | 1804 | 920* | Requesting publication of SIR prior to Examiner action | |
| Charge fee(s) indicated below, except for the filing fee | 1805 | 1.840* | 1805 | 1 840* | Requesting publication of SIR after | |
| to the above-identified deposit account. | 1000 | 1,040 | 1005 | 1,040 | Examiner action | <u> </u> |
| FEE CALCULATION | 1251 | 110 | 2251 | 55 | Extension for reply within first month | |
| 1. BASIC FILING FEE | 1252 | 430 | 2252 | 215 | Extension for reply within second month | |
| Large Entity Small Entity | 1253 | 980 | 2253 | 490 | Extension for reply within third month | |
| Fee Fee Fee Fee <u>Fee Description</u> Fee Paid Code (\$) | 1254 | 1,530 | 2254 | 765 | Extension for reply within fourth month | |
| 1001 790 2001 395 Utility filing fee | 1255 | 2,080 | 2255 | 1,040 | Extension for reply within fifth month | <u> </u> |
| 1002 350 2002 175 Design filing fee | 1401 | 340 | 2401 | 170 | Notice of Appeal | <u> </u> |
| 1003 550 2003 275 Plant filing fee | 1402 | 340 | 2402 | 170 | Filing a brief in support of an appeal | ļi |
| 1004 790 2004 395 Reissue filing fee | 1403 | 300 | 2403 | · 150 | Request for oral hearing | <u> </u> |
| 1005 160 2005 80 Provisional filing fee | 1451 | 1,510 | 1451 | 1,510 | Petition to institute a public use proceeding | |
| SUBTOTAL (1) (\$) | 1452 | 110 | 2452 | 55 | Petition to revive - unavoidable | |
| 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE | 1453 | 1,370 | 2453 | 685 | Petition to revive - unintentional | |
| Fee from | 1501 | | 2501 | 685 | Utility issue fee (or reissue) | |
| Extra Claims below Fee Paid Total Claims 20** = X = | 1502 | 490 | 2502 | | Design issue fee | |
| Independent 34 - 1 | 1503 | 660 | 2503 | | Plant issue fee | |
| Claims -3" - L ^ L | 1460 | 130 | 1460 | | Petitions to the Commissioner | |
| | 1807 | 50 | .1807 | | Processing fee under 37 CFR 1.17(q) | 400.00 |
| Large Entity Small Entity Fee Fee Fee Fee Fee Description | 1806 | 180 | 1806 | | Submission of Information Disclosure Stmt | 180.00 |
| Code (\$) | 8021 | 40 | 8021 | 40 | Recording each patent assignment per property (times number of properties) | |
| 1202 18 2202 9 Claims in excess of 20 1201 88 2201 44 Independent claims in excess of 3 | 1809 | 790 | 2809 | 395 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1203 300 2203 150 Multiple dependent claim, if not paid | 1810 | 790 | 2810 | 395 | For each additional invention to be | |
| 1204 88 2204 44 ** Reissue independent claims | 1010 | , 30 | 2010 | , 535 | examined (37 CFR 1.129(b)) | |
| over original patent | 1801 | 790 | 2801 | 395 | Request for Continued Examination (RCE) | |
| 1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent | 1802 | 900 | 1802 | 900 | Request for expedited examination of a design application | |
| SUBTOTAL (2) (\$) | Other fee (specify) | | | | | |
| **or number previously paid, if greater; For Reissues, see above | *Redu | ced by | Basic F | Filing F | ee Paid SUBTOTAL (3) (\$) 180.0 | 00 |

(Complete (if applicable)) SUBMITTED BY Registration No. Name (Print/Type) John Wurst, Esq. 40,283 Telephone 858/410-5174 (Attorney/Agent) Date November 18, 2004 Signature

> WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.